

NO. SMU/DOR/ 2025-154

OFFICE ORDER

30.10.2025

Subject: The Re-structuring of Academic Integrity Committees to Oversee the Lifecycle of Scholarly Publications, affiliated to Sikkim Manipal University (SMU)

1. Background and Rationale

Ensuring and maintaining academic integrity throughout all stages of scholarly publication is central to preserving the University's credibility and standing. Since 2019, the Pre-Publication and Department Academic Integrity Panel (DAIP)/ Institutional Academic Integrity Panel (IAIP) Committees have functioned separately in the University. These have now been integrated to form a single **Ethical Committee**, entrusted with responsibilities spanning both pre- and post-publication stages.

Given the increasing emphasis on research excellence, ethical accountability, and adherence to global publication standards, **restructuring of the Ethical Committee** has been done in alignment with the University's **Academic Integrity Framework** and the two committees have been constituted — one focusing on **pre-publication review** and the other on **post-publication integrity and compliance**.

2. Objectives

- To provide oversight and advisory support to faculty, supervisors, and scholars in ensuring compliance with ethical, legal, and institutional requirements for research publications (Pre-Publication).
- To ensure the University's name, resources, and intellectual capital are represented ethically and correctly in all scholarly outputs (Pre-Publication).
- To provide an independent review of post-publication matters such as authorship disputes, retractions, or ethical violations (Post-publication).

3. Constitution of Committees

A. Pre-Publication Academic Integrity Committee (PPAIC)

i. Scope: <u>Advisory in nature</u>. To oversee and ensure that all requirements prior to submission of manuscripts/publications are fulfilled.

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ii. Key Responsibilities:

- Verification of University affiliation.
- Checking and certifying plagiarism reports.
- Ensuring compliance with the **Journal selection policy** (indexed/reputed journals).
- Verification of copyright/ethical clearance forms.
- Certification of compliance with Checklist before submission.

iii. Composition (at each Institute Level):

Each Institute will have its own Pre-Publication Academic Integrity Committee to ensure proximity and efficiency.

SL No.	Role	Designation / Post	Remarks
1.	Chairperson: (Ex-officio)	Associate Research Dean / Director (or Nominee)	Overall supervision and certification of ethical compliance prior to submission.
2.	Member Secretary: (Ex-officio Member)	Concerned Dept: HOD	Coordinates meetings, maintains records, and ensures communication with URC.
3.	Member- 3: (Ex-Officio Member)	Representative Compliance/ Software / Turnitin Faculty i/c of the University	Verifies copyright, IP, and compliance documents.
4.	Member 4: Tenured Members (October 2025 to October 2028)	Senior Faculty Member (Subject Expert)	Provides subject- specific ethical and technical review.
5.	Member 5: Tenured Members (October 2025 to October 2028)	Senior Faculty Member (Subject Expert)	Provides subject- specific ethical and technical review.
6.	Member 6: Tenured Members (October 2025 to October 2028)	Senior Faculty Member (Subject Expert)	Provides subject- specific ethical and of a technical review.



Separate committees shall be formulated for Tadong Campus and Majitar Campus.

B. Post-Publication Academic Integrity Panel (IAIP/DAIP)

Scope: Oversight after publication. To handle post-publication ethical concerns, disputes, or compliance issues.

Key Responsibilities:

- Addressing allegations of plagiarism, data fabrication, or ethical breaches etc. raised after publication.
- Handling disputes relating to authorship, acknowledgements, and institutional affiliation.
- Reviewing and advising on cases of retractions, corrections, or errata.
- Maintaining a record of all publications affiliated to the University for monitoring and audit purposes.
- Providing recommendations to the University on disciplinary or corrective measures, if required, in accordance with the University Ethical Standards Policy (Plagiarism Policy adopted from UGC) i.e Promotion of Academic Integrity and Prevention of Plagiarism in Sikkim Manipal University (SMU).

Composition:

SI No.	Role	Designation / Post	Remarks
1.	Chairperson: (Ex-officio)	Director, DoR, SMU	Final authority for review and resolution of post-publication issues.
2.	Member Secretary: (Ex-officio Member)	Associate Dean/ Associate Director (Research); for Institute Specific Cases	Coordinates all cases of their respective Institutes; prepares reports, and liaises with Ethics Committee.
3.	Co- Member Secretary: (Ex-officio Member)	Concerned Dept: HOD	Coordinates meetings, maintains records, and ensures communication with Member Secretary.

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(INDIA) TADONG, GANGTON



Sl No.	Role	Designation / Post	Remarks
4.	Member -4: (Ex-officio Member) Faculty In charge-Turnitin Software SPOC	Representative Compliance/ Software / Turnitin Faculty i/c of the University	Verifies copyright, IP, compliance documents, ensures compliance with UGC/COPE standards.
5.	Member-5: Tenured Members (October 2025 to October 2028)	Senior faculty/ Subject matter Expert	Provides unbiased expert review
6.	Member-6: Tenured Members (October 2025 to October 2028)	Senior Faculty Member (Independent of concerned publication)	Provides unbiased expert review.
7. 7	Member-7: Tenured Members (October 2025 to October 2028)	Senior Faculty Member (Independent of concerned publication)	Provides unbiased expert review.

4. Mandate

- 4.1 To uphold and promote the principles of academic integrity, research ethics, and transparency in all scholarly and research activities.
- 4.2 To ensure timely, fair, and evidence-based resolution of all reported cases of research or academic misconduct.
- 4.3 To maintain appropriate records, confidentiality, and reporting in alignment with institutional and national guidelines on research integrity.

5. Implementation:

- i. This is in practice since 2021, however, from October 2025 (Immediate effect), this re- structured committee will be functional.
- ii. Approving Authority: Agenda 15 of 27th URC Meeting held on 14th Oct 2025.

Sd/-

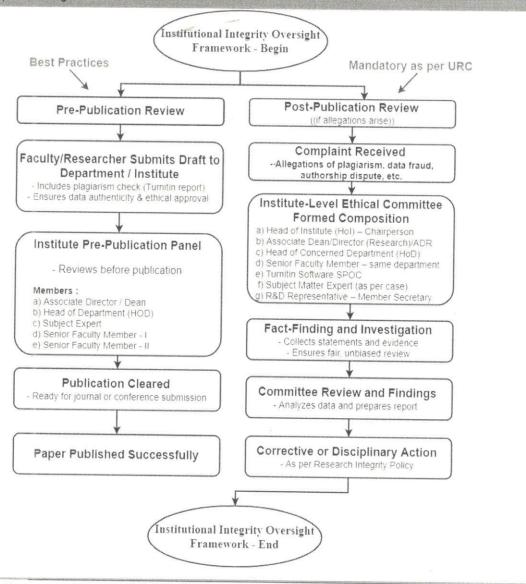
Director of Research

Directorate of Research Sikkim Manipal University

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Agenda 15: DAIP/ IAIP / Pre-Publication cases; Recommended Nomenclature: Academic and Research Integrity Panel (ARIP): Integrity Oversight and Review Mechanism



Placed for approval

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