

### **UNDERTAKING**

To

The Secretary,
University Grants Commission (UGC),
Bahadur Shah Zafar Marg,
New Delhi – 110002.

Subject: Undertaking on Compliance with the Recommendations of the UGC Standing Committee (592<sup>nd</sup> Meeting, Item No. 2.09) dated 23.07.2025

Sir/Madam,

With reference to your letter No. F. 1-1/2023 (JKT-JS) dated: 08 August 2025, regarding the evaluation of Ph.D. degrees awarded by Sikkim Manipal University (SMU) during the years 2020 to 2024, and the subsequent recommendations of the UGC Standing Committee considered and approved in the 592<sup>nd</sup> Meeting of the Commission held on 23.07.2025 (Item No. 2.09), this is to state that Sikkim Manipal University has taken all necessary actions to ensure full compliance with the said recommendations.

Accordingly, Sikkim Manipal University hereby submits the following Undertaking:

#### 1. Adoption of UGC Ph.D. Regulations, 2022

Sikkim Manipal University confirms that it has adopted and implemented the UGC (Minimum Standards and Procedures for the Award of Ph.D. Degree) Regulations, 2022 across all departments and constituent institutes. All relevant internal regulations, policies, and procedural documents have been reviewed and aligned accordingly (Link: <a href="https://smu.edu.in/phd-smu.php">https://smu.edu.in/phd-smu.php</a>)

## 2. Implementation of UGC Guidelines on Public Self-Disclosure, 2024

The University has implemented the UGC Guidelines on Public Self-Disclosure by Higher Education Institutions, 2024. Necessary disclosures relating to research, governance, and academic processes are being prominently displayed on the University website, (Link: <a href="https://smu.edu.in/ugc-mandatory-disclosure.php">https://smu.edu.in/ugc-mandatory-disclosure.php</a>)

## 3. Uploading of Key Research Policies on the University Website

The following policies and documents have been prepared, approved, and uploaded on the official University website (Link: <a href="https://smu.edu.in/research.php#">https://smu.edu.in/research.php#</a>):

- i. Research Policy
- ii. Ph.D. Admission Policy and Procedure
- iii. Fellowship/Scholarship Policy for Ph.D. Scholars
- iv. Constitution of the Ethics Board to maintain research integrity
- v. Constitution of Research Advisory Committee (RAC) and Doctoral Research

Committee (DRC) (aligned with UGC Regulations)

- vi. Policy for Grievance Redress Mechanism of Research Scholars
- vii. Details of currently enrolled Ph.D. scholars (Name, Department, Date of Entrance Test/Procedure, Date of Registration, Research Topic, Supervisor's Name and Qualification)



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#### 4. Website Disclosure

The University confirms that all the above policies and information have been made **publicly accessible** on the University's official website homepage and under the **Research and Ph.D. section**, in compliance with UGC's self-disclosure mandate.

# 5. Undertaking on Compliance

Sikkim Manipal University undertakes that all measures mentioned herein have been implemented in spirit and substance, in line with the recommendations of the UGC Standing Committee and the UGC (Minimum Standards and Procedures for the Award of Ph.D. Degree) Regulations, 2022.

## 6. Future Compliance

The University assures that it will **continue to uphold the UGC norms and guidelines** in all academic and research activities and will periodically update policies and disclosures as per future UGC directives.

#### 7. Submission

This undertaking is being submitted to the **University Grants Commission (UGC)** within the stipulated period of **three months** from the date of issue of the communication, and the same has been **uploaded on the homepage** of the University website for public record. SMU is extremely grateful to the UGC Standing Committee and their insightful feedback/suggestions.

Prof (Dr) K S Sherpa

Registrar,

Sikkim Manipal University

Date: 31 October 2025 Place: Gangtok, Sikkim